

BYZANTIUM

Business Account Information

Required documents and instructions

Include the following applicable document copies in your submission:

- Business license
- Reseller Permit
- Sales Tax Certificate
- Mine claim documents
- Passport and proof of residential address for all:
 - Principals
 - authorized representatives of the company
 - authorized payees
 - Persons from whom you import precious metals

Please note we may request further documentation to support this application. This application should be completed in English.

Return this Business Account Information form, along with the other documents by mail or email to:

kzander@byzantium.ky

Au Ltd. / Au Cayman
P.O. Box 2154
George Town, Grand Cayman
KY1-1105

General Business Information

Full Legal Name of Business

DBA or TA

Check the Type of Organization

- Corporation
- Partnership
- Sole Proprietor
- Other _____

Jurisdiction of Incorporation/Formation

Date

Registration No.

<input type="text"/>	<input type="text"/>	<input type="text"/>
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Business Phone

Business Email

Business Fax

<input type="text"/>	<input type="text"/>	<input type="text"/>
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Business Address

City	State/Region	Zip/Post Code

Registered Office Address (if different)

City	State/Region	Zip/Post Code

How did you hear about Byzantium?

- Ad/Postcard
- Referral
- Sales Rep/Trader
- Other _____

Indicate your type of business

- Pawn Shop
- Trader
- Collector/Broker
- Mining/Prospector
- Coin Dealer
- Gold/Precious Metal Buyer
- Antique/Collectible Dealer
- Other _____

Description of your business with Byzantium

Sales tax number

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Federal EIN or Social Security Number

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Number of years in business

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State/Country of incorporation

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Do you accept material directly from mines?

- No
- Yes (Please provide copy of mine claim documents)

Name of mine

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Location of mine

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Where does your business operate?

- Office building
- Flea Market
- Retail Location
- Home
- Other _____

NAMES OF PRINCIPAL/DIRECTORS

1.	NAME:		TITLE:	
	HOME ADDRESS:			
	PLACE & DATE OF BIRTH			

2.	NAME:		TITLE:	
	HOME ADDRESS:			
	PLACE & DATE OF BIRTH			

3.	NAME:		TITLE:	
	HOME ADDRESS:			
	PLACE & DATE OF BIRTH			

LIST REPRESENTATIVES DELIVERING MATERIAL ON YOUR BEHALF

1.	NAME:		DATE OF BIRTH:	
	HOME ADDRESS:			

2.	NAME:		DATE OF BIRTH:	
	HOME ADDRESS:			

LIST AUTHORIZED PAYEES

1.	NAME:		DATE OF BIRTH:	
	HOME ADDRESS:			

2.	NAME:		DATE OF BIRTH:	
	HOME ADDRESS:			

SHAREHOLDERS OF COMPANY (use separate sheet if necessary)

1.	NAME:		_____ % OWNERSHIP
	HOME ADDRESS:		
	PLACE & DATE OF BIRTH		

2.	NAME:		_____ % OWNERSHIP
	HOME ADDRESS:		
	PLACE & DATE OF BIRTH		

3.	NAME:		_____ % OWNERSHIP
	HOME ADDRESS:		
	PLACE & DATE OF BIRTH		



Import Export

If you import precious metals

What is/are the country/countries of origin of the imported metal?

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What is the form and purity of the imported metal?

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From whom is the imported metal obtained?

Name

Date of Birth

Name	Date of Birth

Identify your source of supply if you accept precious metals from Africa

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Buy/Sell Volume

Product	Number of Au Troy Ounces	Number of Ag Troy Ounces	Per what time period?
Scrap/Refine			<input type="checkbox"/> week <input type="checkbox"/> month <input type="checkbox"/> year
Bullion Purchasing			<input type="checkbox"/> week <input type="checkbox"/> month <input type="checkbox"/> year
Bullion Sales			<input type="checkbox"/> week <input type="checkbox"/> month <input type="checkbox"/> year

References

Bank information

Name of Bank

Contact Number

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Trade Organizations of which you are a member

- Jewelers Board of Trade
- Independent Jewelers Assn
- Retail Jewelers Organization
- National Pawnbroker Assn
- Manufacturing Jewelers and Suppliers of America
- American Numismatic Assn
- Industry Council for Tangible Assets
- Professional Numismatists Guild
- Other _____

Trade Reference Name

Trade Reference Phone Number

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Identify Secured Carriers/Vaulting services with which you have a relationship

- Brinks
 - Via Mat
 - Malca Amit
 - Trans Value
 - Other
- Location _____

Patriot Act

The US Patriot Act of 2001 requires financial institutions, including dealers in precious metals, to establish an anti-money laundering program to deter and detect money laundering and the financing of terrorism. Byzantium requests your assistance in meeting these requirements.

As a condition of doing business with Byzantium, the counterparty represents and warrants that it either:

- Has a written anti-money laundering program that complies with the US Patriot Act and Bank Secrecy Act or
- Is not a “dealer in precious metals, stones or jewels” as defined in the US Patriot Act, or
- Is exempt for compliance therewith by one of the following:
 - Retailer Exemption: Retailers that purchase only from other dealers who follow a US anti-money laundering program are exempt from compliance. Additionally retailers who purchase less than \$50,000 of covered goods from non-US dealers and members of the general public are exempt from compliance.
 - Pawnbroker Exemption: Businesses properly licensed and registered as pawnbrokers under state or municipal law are specifically exempted from the U.S. Department of the Treasury’s Interim Final Rules definition of “dealer”, are not required to comply.

Do you have an anti-money laundering / risk assessment / customer vetting program?

- Yes
- No

Name of Compliance Officer

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IF yes, please describe how you conduct due diligence on your potential customers

Acknowledgement and Agreement

Counterparty represents and warrants that all the information provided by Counterparty to Byzantium and on this Business Account Information form is true and accurate, and that Counterparty has read, understands, consents and agrees to be bound by all of the Byzantium General Terms and Conditions as a condition of doing business with Byzantium.

Counterparty Business Name

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Printed Name

Title of Authorized Counterparty Representative

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Signature of Authorized Counterparty Representative

Date

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